

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail Stop ISSU FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

05514 7590 08/12/2004

FITZPATRICK CELLA HARPER & SCINTO  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112Adjustment date: 08/31/2004 EABUBAK2  
07/28/2004 HARPER 00000204 09513129  
01 FC:1501 -1300.00 DP

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/513,129	02/25/2000	Keisuke Yamamoto	35.C14299	6645

TITLE OF INVENTION: ELECTRON-EMITTING DEVICE, ELECTRON SOURCE USING THE SAME, AND IMAGE FORMING APPARATUS USING THE SAME

08/31/2004 EABUBAK2 00000149 09513129

APPLICATION TYPE	SMALL ENTITY DISCOUNT	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	<del>\$1300</del> \$30.00	\$0	<del>\$1300</del> \$30.00	11/12/2004

EXAMINER	ART UNIT	CLASS-SUBCLASS
VO, TUYET THI	2821	315-169300

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. FITZPATRICK, CELLA,
2. HARPER & SCINTO
3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

CANON KABUSHIKI KAISHA

TOKYO, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent); ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☐ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 5

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1205 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is not claiming SMALL ENTITY status. See, e.g., 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

(Authorized Signature) FRANK A. DeLUCIA

(Date)

(REG. NO. 42,476)

August 27, 2004

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMIT THIS FORM WITH FEE(S)

03500.014299.



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	:	Examiner: T. Vo
KEISUKE YAMAMOTO ET AL.	)	
	:	Group Art Unit: 2821
Application No.: 09/513,129	)	
	:	
Filed: February 25, 2000	)	
	:	
For: ELECTRON-EMITTING DEVICE,	)	
ELECTRON SOURCE USING	:	
THE SAME, AND IMAGE	)	
FORMING APPARATUS USING	:	
THE SAME	)	August 27, 2004

**Mail Stop ISSUE FEE**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR PAYMENT CREDIT TOWARD ISSUE FEE

Sir:

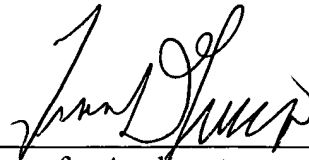
Applicants have previously submitted a check for \$1,300.00 on July 22, 2003, for payment of the Issue Fee in response to a Notice of Allowance dated April 22, 2003 in the above-referenced application. Afterwards, on August 1, 2003, a Petition to Withdraw Application from Issue Under 37 C.F.R. § 1.313(c)(2) - Issue Fee Paid and a Request for Continued Examination were filed with an Information Disclosure Statement. This application, which was then withdrawn from issue by the Patent and Trademark Office, has now been successfully prosecuted with the issuance of a second Notice of Allowance dated August 12, 2004.

Applicants now respectfully request that the \$1,300.00 previously paid, be applied toward the Issue Fee payment of \$1,330.00, which is now due in reply to the August 12, 2004 Notice of Allowance. For the Patent and Trademark Office's convenience, Applicants have enclosed a copy of the Issue Fee transmittal sheet submitted on July 22, 2003 and a copy of the return receipt postcard evidencing the Patent and Trademark Office's receipt of the sheet and the check for \$1,300.00 on that date.

A check for \$45.00 is enclosed to pay the remaining amount of the Issue Fee (\$30.00) that is due and the fee for advanced copies of patent (\$15.00). If the Patent and Trademark Office needs any additional information in order to process this request, it is respectfully requested that the undersigned attorney be contacted. Please charge any remaining fees or fee deficiencies or credit any refunds to Deposit Account 06-1205.

Applicants' undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our below listed address.

Respectfully submitted,



---

Attorney for Applicants  
Frank A. DeLucia  
Registration No. 42,476

FITZPATRICK, CELLA, HARPER & SCINTO  
30 Rockefeller Plaza  
New York, New York 10112-3801  
Facsimile: (212) 218-2200

NY\_MAIN 449395v1



*Mail Stop Issue Fee*

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Date 7/22/03  
Mo. Day Yr.

Atty. Docket 03500.014299

Application No. 09/513129

Sir:

Kindly acknowledge receipt of the accompanying:

- FOHS-B-00
- ☐ Response to Official Action.
  - ☐ Check for \$ \_\_\_\_\_ (claims fee)
  - ☐ Petition under 37 CFR 1.136 and Check for \$ \_\_\_\_\_
  - ☐ Notice of Appeal and Check for \$ \_\_\_\_\_
  - ☐ Information Disclosure Statement, PTO-1449 and \_\_\_\_\_ documents
  - ☐ Claim for priority and certified copies of \_\_\_\_\_ priority applications
  - ☒ Issue fee transmittal and Check for \$ 1300.00 + \$15.00 (Advance copies 5 patents)  
= \$1315.00
  - ☐ Other (specify) \_\_\_\_\_

by placing your receiving date stamp hereon and mailing or returning to deliverer.

Atty. HPD/FAD/mw

Due Date 7/22/03  
Mo. Day Yr.

37 CFR 1.8 ☒  
37 CFR 1.10 ☐  
By Hand ☐

